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## NOTICE OF ALLOWANCE AND FEE(S) DUE

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03/24/2003

ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A. 1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE P.O. BOX 3791 ORLANDO, FL 32802-3791

EXAMINER

VO, DON NGUYEN

ART UNIT CLASS-SUBCLASS

375-281000

2631 DATE MAILED: 03/24/2003

	APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
•	09/393,639	09/10/1999	RAYMOND F COBB	118/35789	3040

TITLE OF INVENTION: CARRIER INJECTING WAVEFORM-BASED MODULATION SCHEME FOR REDUCING STATELITE TRANSPONDER POWER REQUIREMENTS AND EARTH TERMINAL ANTENNA SIZE

APPLN. TYPE SMALL ENTITY		ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	06/24/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### **HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231 (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

- maisaisa solo	transmitted to the out 1 of our me dat
(Depositor's name)	
(Signature)	•
(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/393 639 09/10/1999		RAYMOND F CORB	118/35789	3040

TITLE OF INVENTION: CARRIER INJECTING WAVEFORM-BASED MODULATION SCHEME FOR REDUCING STATELITE TRANSPONDER POWER REQUIREMENTS AND EARTH TERMINAL ANTENNA SIZE

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nonprovisional	NO	\$1300	\$0	\$1300	06/24/2003	
EXAMI	NER	ART UNIT	CLASS-SUBCLASS			
VO, DON NGUYEN 2631		375-281000				
1. Change of corresponder CFR 1.363).	ace address or indication of	"Fee Address" (37	2. For printing on the patent fr the names of up to 3 registered	patent attorneys		
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			or agents OR, alternatively, (2) the name of a single firm (having as a member a registered			
			attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or 4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):					
☐ Issue Fee	☐ A check in the amount	☐ A check in the amount of the fee(s) is enclosed.				
□ Publication Fee	Payment by credit care	☐ Payment by credit card. Form PTO-2038 is attached.				
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).					
Commissioner for Patents is requested to apply th	e Issue Fee and Publication Fee (if any) or to re	re-apply any previously paid issue fee to the application identified above.				
(Authorized Signature)	(Date)					

other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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27975	7590 03/24/2003	[	EXAMINER	
•	ER, DOPPELT, MILBRA	VO, DON NGUYEN		
1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE P.O. BOX 3791		EARGE AVEROE	ART UNIT	PAPER NUMBER
ORLANDO, FI			2631	11
UNITEDSIA	163	I	DATE MAILED: 03/24/2003	•

# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE P.O. BOX 3791		ART UNIT	PAPER NUMBER		
ORLANDO, FI		2631			
UNITED STAT	TES		DATE MAILED: 03/24/2003		

## Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application N	lo.	Applicant(s)		
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Notice of Allowability	09/393,639 Examiner		COBB, RAYMOND I	_	
			Artonic		
	DON N VO		2631		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included nerewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.					
This communication is responsive to the Amendment filed on 12/9/02.					
2. The allowed claim(s) is/are <u>2-5, 7, 9-13, 17-20, 22-25 and respectively</u> .	27-39 which are	now renumbered as	s claims 2-19, 1, and 2	<u>20-31.</u>	
3. The drawings filed on are accepted by the Examine	er.				
4. Acknowledgment is made of a claim for foreign priority und		119(a)-(d) or (f).			
a) All b) Some* c) None of the:	_	.,.,			
1. Certified copies of the priority documents have	been received.				
2. Certified copies of the priority documents have	been received	in Application No	·		
3. Copies of the certified copies of the priority do	cuments have b	een received in this	national stage applicat	ion from the	
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
5. Acknowledgment is made of a claim for domestic priority un	nder 35 U.S.C.	§ 119(e) (to a provisi	onal application).		
(a)  The translation of the foreign language provisional a	pplication has b	een received.			
6. Acknowledgment is made of a claim for domestic priority un	nder 35 U.S.C. {	§§ 120 and/or 121.			
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of	this application.	THIS THREE-MON	ITH PERIOD IS NOT	EXTENDABLE.	
7. A SUBSTITUTE OATH OR DECLARATION must be submINFORMAL PATENT APPLICATION (PTO-152) which gives reas				OTICE OF	
8.  CORRECTED DRAWINGS must be submitted.					
(a) including changes required by the Notice of Draftspers	son's Patent Dra	wing Review (PTO-	948) attached		
1) ☐ hereto or 2) ⊠ to Paper No. <u>4</u> .					
(b) including changes required by the proposed drawing of	correction filed	16 July 2002, which	has been approved by	y the Examiner.	
(c) including changes required by the attached Examiner	s Amendment /	Comment or in the C	Office action of Paper I	No	
Identifying indicia such as the application number (see 37 CFR 1 of each sheet. The drawings should be filed as a separate paper	.84(c)) should be with a transmitta	written on the drawin al letter addressed to	igs in the top margin (n the Official Draftsperso	ot the back) n.	
9.   DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR T				lote the	
Attachment(s)					
1 ☐ Notice of References Cited (PTO-892)       2 ☐ Notice of Informal Patent Application (PTO-152)         3 ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)       4 ☐ Interview Summary (PTO-413), Paper No         5 ☐ Information Disclosure Statements (PTO-1449), Paper No       6 ☐ Examiner's Amendment/Comment         7 ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material       8 ☐ Examiner's Statement of Reasons for Allowance					

Application/Control Number: 09/393,639

Art Unit: 2631

## **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

## IN THE CLAIM:

Claim 22, line 1, "21" has been changed to – 17 --.

- The reason for such amendment is because claim 22 is depending from claim
   which was cancelled by the Amendment filed on 12/9/02.
- 3. The following is an examiner's statement of reasons for allowance:

The prior art of record fail to teach, in combination, the arrangements of elements as now claimed in the amended independent claims 9, 17, 23, 27, 29 and 35. Especially, the offset is now amended to comprise <u>direct current (DC)</u> offset voltage. Moreover, the arguments on pages 6-9 of the Amendment filed on 12/9/02 are found persuasive.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Application/Control Number: 09/393,639

Art Unit: 2631

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4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DON N VO whose telephone number is (703) 305-4885. The examiner can normally be reached on 8:30AM-5:00PM, Tuesday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, CHI PHAM can be reached on (703) 305-4378. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9314 for regular communications and (703) 872-9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3800.

DON N VO Primary Examiner

Art Unit 2631

March 21, 2003